PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Johnson et al.

Application No.: 09/689,548

Filed: October 11, 2000

Title: HYBRID VALVE APPARATUS AND

METHOD FOR FLUID HANDLING

Attorney Docket No.: INVDP001

Examiner: Not Assigned

Group: 1743

Certificate of Facsimile

I hereby certify that this correspondence is being transmitted by facsimile to the United States Patent and Trademark Office, Deposit Account Refunds, Fax No. (703) 308-5077, Washington, DC 20231 on: March 13. 2001

Signed: ce L. Ferreira

REQUEST FOR REFUND

Assistant Commissioner for Patents Attn: Deposit Account inquiries Washington, D.C. 20231

Dear Sir.

Applicants previously made a request to refund the above-identified application in the amount of \$773 in view of the filing fees charged to the attorney of record's deposit account. That request was filed on February 7, 2001, (copy enclosed). However, in responding to the Notice to File Missing Parts, it seems that the filing fees were, again, paid in this matter, thus making the overall filing fees paid in this application \$2,384.00. Applicants would like to request that the filing fees in the amount of \$355, \$378, and \$40 (totaling \$773) paid on February 7, 2001 be refunded to deposit account 50-0388 (Order No. INVDP001) since those fees were already debited from deposit account 50-0388 on October 18, 2001. Please find attached a copy of the check as submitted with the response to the Missing Parts, as well as a copy of the Missing Parts Notice (PTO Form 1533) showing that there is a balance due of \$130 (which is the large entity missing parts surcharge). The balance due in response to the Notice to File Missing Parts was erroneously overlooked when filing the response. Applicants earnestly request that refund in the amount of \$773 (as well as the refund requested on February 7, 2001)

be credited to deposit account 50-0388 (Order No. INVDP001).

03613540

Respectfully submitted,

BEYER WEAVER & THOMAS, I

Michael L. Louie Reg. No. 36,988

P.O. Box 778 Berkeley, CA 94704-0778

ustcent dates 03/20/2001 HLENRENC 13/2000 RERRIST 00000027 500300

01 FC:101

03 FC:103

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Signed:

Tara Hayden

NOTICE TO DEPOSIT ACCOUNT

Assistant Commissioner for Patents Attn: Deposit Account inquiries Washington, D.C. 20231

Dear Sir:

Please take note that when the above Applicant filed their application, they explicitly requested that the filing fees be "deferred" until receipt of the Notice to File Missing Parts. In reviewing the Notice to File Missing Parts and the Official Filing Receipt, it appears that the Patent Office has charged the attorney of record's deposit account in the amount of \$1,546.00, Control Nos. 56 for filing fee, 57 for individual claim, and 58 for additional claims, which is for large entity. Applicant is entitled to Small Entity Status under 37 C.F.R. §1.27. Applicant requests the refund of half of the filing fees charged to deposit account 50-0388 on October 18, 2000. Thus, Applicant is requesting a refund in the amount of \$773 to be credited to deposit account 50-0388 (Order No. INVDP001).

Respectfully submitted, BEYER WEAVER & THOMAS, LLP

Mulal I for

Michael L. Louie Reg. No. 36,988

P.O. Box 778 Berkeley, CA 94704-0778

Copy

Page 1 of 3



United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023

NO. 565

APPLICATION NUMBER FILING DATE GRP ART UNIT FIL FEE RECO ATTY DOCKET NO DRAWINGS TOT CLAIMS IND CLAIMS 09/689,548 10/11/2000 1743 7546 INVDP001 17 62 4

22434 BEYER WEAVER & THOMAS LLP P.O. BOX 778 BERKELEY, CA 94704-0778 Date Mailed: 12/06/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

James E. Johnson, Sebastopol, CA; Neil R. Picha, Petaluma, CA; Mitchel J. Doktycz, Knoxville, TN;

Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 12/05/2000

Title

Hybrid valve apparatus and method for fluid handling

Preliminary Class

422

Data entry by : DILLON, LAWANDA Team : OIPE Date: 12/06/2000

תובה מחוד אותו לסובל נוסט במסט בסולה מלכם שובל הדווד אות נוסדו בלובו חונים להווז מסבר מובול בחוד מאוד בסלבו חודובו ל





United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
VASHINGTON, D.C. 20231

APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

09/689,548

10/11/2000

James E. Johnson

INVDP001

22434
BEYER WEAVER & THOMAS LLP
P.O. BOX 778
BERKELEY, CA 94704-0778



Date Mailed: 12/06/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE







UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231

APPLICATION NUMBER	FIUNG DATE	GRP ART UNIT	FIL FEE RECD	ATTY DOCKET, NO	DRAWINGS	TOTALANCE	www.rzbto-Box	
09/689,548	10/11/2000	1743	2384	INVDP001	17	62	IND CLAIMS	

22434 BEYER WEAVER & THOMAS LLP P.O. BOX 778 BERKELEY, CA 94704-0778

Date Mailed: 02/21/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

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Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 12/05/2000

** SMALL ENTITY **

Title

Hybrid valve apparatus and method for fluid handling

Preliminary Class

422

Data entry by : DILLON, LAWANDA

Team: OIPE

Date: 02/21/2001

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